

FORM PTO-1390
(REV. 12-29-99)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

1776-4054

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/485002

INTERNATIONAL APPLICATION NO.
PCT/JP99/02847INTERNATIONAL FILING DATE
28 May 1999 (28.05.99)PRIORITY DATE CLAIMED
30 May 1998 (30.05.98)TITLE OF INVENTION BIODEGRADABLE POLYESTER RESIN COMPOSITION, BIODISINTEGRABLE RESIN
COMPOSITION, AND MOLDED OBJECTS OF THESE

APPLICANT(S) FOR DO/EO/US

Koji Nakata, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Copy of the first page of Published International Application No. WO 99/63001

U.S. APPLICATION NO. (if known, see 37 CFR 1.55) 13-4500
 To Be Assigned 13-4500 INTERNATIONAL APPLICATION NO. PCT/JP99/02847

ATTORNEY'S DOCKET NUMBER
1776-4054

CALCULATIONS PTO USE ONLY

17. ☐ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$970.00
 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$840.00
 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$690.00
 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00
 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 840.00

\$ 840.00

\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE |
|--------------------|--------------|--------------|-----------|
| Total claims | 67 - 20 = | 47 | X \$18.00 |
| Independent claims | 11 - 3 = | 8 | X \$78.00 |
| | | | + \$260.0 |

\$ 846.00

\$ 624.00

\$

MULTIPLE DEPENDENT CLAIM(S) (if applicable)

TOTAL OF ABOVE CALCULATIONS = \$1,470.00

\$1,470.00

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

SUBTOTAL = \$1,470.00

\$

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE = \$1,470.00

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

TOTAL FEES ENCLOSED = \$

\$1,470.00

\$

\$

Amount to be refunded: \$
 charged: \$

a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 13-4500 in the amount of \$ 1,470.00 to cover the above fees. A duplicate copy of this sheet is enclosed. Order No. 1776-4054

c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Darryl H. Steensma
 Morgan & Finnegan, L.L.P.
 345 Park Avenue
 New York, New York 10154
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Darryl Steensma
 SIGNATURE:

Darryl H. Steensma
 NAME

43,155
 REGISTRATION NUMBER

514 Rec'd PCT/PTO 31 JAN 2000 485002

Docket No. 1776-4054

IN THE UNITED STATES

[] RECEIVING OFFICE (RO/US)
[x] DESIGNATED OFFICE (DO/US)
[x] ELECTED OFFICE (EO/US)

| | | |
|--|---------------------------|-----------------------|
| INTERNATIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED |
| PCT/JP/02847 | 28 May 1999(28.05.99) | 30 May 1998(30.05.98) |
| TITLE OF INVENTION | | |
| BIODEGRADABLE POLYESTER RESIN COMPOSITION, BIODISINTEGRABLE RESIN COMPOSITION, AND MOLDED OBJECTS OF THESE | | |
| APPLICANT(S) | | |
| Koji Nakata, et al. | | |

Assistant Commissioner for Patents
Box PCT
Washington, D.C. 20231
Attention: DO/EO/US

EJ606946650US

VERIFIED CERTIFICATION OF EXPRESS MAILING DATE
(INTERNATIONAL APPLICATION (37 CFR 1.10(c)))

I declare that on 31 January 2000 I deposited with the United States Postal Service in an envelope "Express Mail, Post Office to Addressee", bearing Label Number EJ606946650US, addressed to the "Assistant Commissioner for Patents, Washington, D.C. 20231" and having an express mail certification which I executed, the following papers:

Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371, duly executed (in duplicate); A translation of the International Application into English including two hundred sixty eight (268) pages of description, fourteen (14) pages of claims, four (4) pages of Abstract, and five (5) sheets of the drawings; Assignment of the Application for Patents including Recordation form cover sheet (7 pages); Preliminary Amendment (4 pages); Copy of the first page of the International application as published No. WO 99/63001; and Return Postcard.

A copy of these papers from the file of this application is attached.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Darryl H. Steensma

(Typed or printed name of person making this verified statement)

Darryl H Steensma

(Signature of person making this verified statement)

Date 31 January 2000

(Verified Certification of Express Mailing Date (International Application) [13-12])